

MTS-520US1

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: M. Oshima et al.

: Art Unit: 2132

Serial No.: 09/588,364

: Examiner: S. Kabakoff

Filed: June 2, 2000

:

FOR: MARK FORMING APPARATUS,

:

METHOD OF FORMING LASER MARK ON

:

OPTICAL DISK, REPRODUCING

APPARATUS, OPTICAL DISK AND

METHOD OF PRODUCING OPTICAL DISK

RECEIVED
OCT 01 2001
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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents

Washington, D.C. 20231

S I R :

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (R&P) submitted herewith. A copy of each of the listed references is also enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

Reference No. NL9101358 is not in the English language, but was cited by a foreign patent office in a counterpart foreign application. Under

MPEP 609A(3) where the non-English language information was cited in a counterpart foreign application search report, the requirement for a concise explanation can be satisfied by an English language version of the search report. A copy of this ^{European} ~~International~~ search report, indicating the degree of relevance determined by the foreign patent office is submitted herewith.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

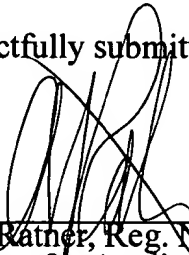
This Information Disclosure Statement is being filed more than three months after the filing of the above-referenced application

A certification can be made that the references were cited in a search report within 3 months, or became known within the last 3 months *and* a first (non-Final) Official Action has been received. No Final Action or Notice of Allowance has yet been received and it is presumed that none has yet been mailed. Accordingly, the required certification (as required by 37 C.F.R. § 1.97(c)) is provided herewith.

CERTIFICATION

The undersigned, an attorney registered to practice before the U.S. Patent and Trademark Office, hereby certifies that each reference disclosed in the subject Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months before the filing of the Information Disclosure Statement.

Respectfully submitted,



Allan Kather, Reg. No. 19,717
Attorney for Applicants

AR/ap
Encls.: PTO Form 1449,
Copy of (7) References,
Search Report,
Dated: September 24, 2001
Suite 301, One Westlakes, Berwyn
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(610) 407-0700

The Assistant Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. 18-0350 of any fees associated with this communication.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to:
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20231 on:

